

# ASAL

Automotive Stampings and Assemblies Limited

CIN: L28932PN1990PLC016314

SE/ 2021-22  
April 30, 2021

The Executive Director,  
**BSE Limited**  
Corporate Relationship Department, 1<sup>st</sup>  
Floor, New Trading Ring, Rotunda  
Bldg., P.J. Towers, Dalal Street,  
Mumbai 400 001  
Scrip Code: **520119**

The Executive Director,  
**National Stock Exchange of India Ltd.**  
Exchange Plaza,  
Bandra (East),  
Mumbai 400 051  
Scrip Code: **ASAL**

Dear Madam / Sir,

**Sub: Annual Secretarial Compliance Report under Regulation 24A of the SEBI  
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Ref: ISIN(S): INE900C01027**

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular CIR/CFD/CMD1 /27/2019 dated February 8, 2019, please find enclosed herewith the Annual Secretarial Compliance Report for the year ended March 31, 2021.

We request you to take the same on Record.

Thanking you,

Yours Faithfully,  
For **Automotive Stampings and Assemblies Limited**

  
**Prasad Zinjurde**  
**Company Secretary and Compliance Officer**  
**M.No. A54800**



Encl: As above

**SECRETARIAL COMPLIANCE REPORT OF AUTOMOTIVE STAMPINGS AND  
ASSEMBLIES LIMITED FOR THE YEAR ENDED ON 31<sup>ST</sup> MARCH 2021**

To,  
Automotive Stampings and Assemblies Limited  
TACO House, Plot No - 20/B FPN085,  
V. G. Damle Path, Off. Law College Road,  
Erandwane, Pune - 411004

We, Prajot Tungare & Associates, Practicing Company Secretaries, have examined:

- (a) All the documents and records made available to us and explanation provided by Automotive Stampings and Assemblies Limited ("the listed entity");
- (b) The filings/submissions made by the listed entity to the stock exchanges;
- (c) Website of the listed entity; and
- (d) Any other document/filing, as may be relevant, which has been relied upon to make this certification;

for the year ended as on 31<sup>st</sup> March 2021 ("Review Period") in respect of compliance with the provisions of:

1. The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
2. The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");
3. The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include: -
  - (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
  - (b) ~~Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; [not applicable during the Review Period]~~

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Secretarial Compliance Report for the financial year ended 31<sup>st</sup> March 2021

- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) ~~Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; [not applicable during the Review Period]~~
- (e) ~~Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; [not applicable during the Review Period]~~
- (f) ~~Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; [not applicable during the Review Period]~~
- (g) ~~Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; [not applicable during the Review Period]~~
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- (i) The listed entity has complied with the provisions of the above regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
		NIL	

- (ii) The listed entity has maintained proper records under the provisions of the above regulations and circulars/guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (iii) The following are the details of actions taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder:

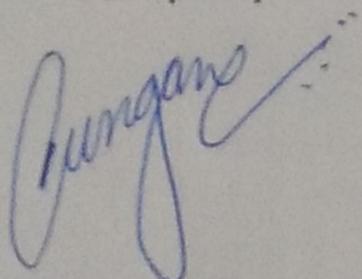
Prajot Tungare & Associates  
Company Secretaries

Sr. No.	Action taken By	Details of Violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ Remarks of the Practicing Company Secretary, if any.
NIL				

(iv) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended...	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Not Applicable				

For Prajot Tungare & Associates  
Company Secretaries



CS Prajot Tungare  
Partner  
FCS: 5484  
CP No: 4449  
UDIN: F005484C000184256

Date: 26<sup>th</sup> April 2021  
Place: Pune